

## **APPENDIX H**

### **STATE ENVIRONMENTAL REVIEW PROCESS (SERP)**

**SAFE DRINKING WATER BRANCH  
HAWAII DEPARTMENT OF HEALTH**

**STATE ENVIRONMENTAL REVIEW PROCESS (SERP)  
FOR DWSRF PROJECTS**

1. For all projects, the Applicant will comply with the environmental review process as defined by HRS Chapter 343 and HAR Chapter 11-200. For guidance, the Applicant should refer to A Guidebook for the Hawaii State Environmental Review Process, August, 1992, as prepared by the Hawaii Office of Environmental Quality Control (OEQC).

The Applicant shall also address the SERP Criteria and the impact of the project on other Federal "cross-cutting" authorities as found in Attachment 1 (Environmental Assessment Documents Criteria) and corresponding checklists.

**Projects that may be funded by DWSRF funding must include the following or a similar statement in the Environmental Assessment Documents (EAD).**

- A. "This project may be funded by Federal Funds through the State of Hawaii's Drinking Water State Revolving Fund (DWSRF) program, which would constitute a federal action, and will require the project to meet all Hawaii DWSRF program requirements."

**The above statement or a similar statement in Item 1.A. must also be included in the notice published in the OEQC newsletter, The Environmental Notice or in the community newspaper as noted in the Applicant Manual.**

2. The Applicant will provide a copy of the Environmental Assessment Documents (and any prior-decision documents and reaffirmations) to the Department of Health no later than the day the Applicant provides those documents to the OEQC.
3. The Applicant will provide a copy of the Notice of Determination to the Department of Health no later than the day the Applicant provides that document to the OEQC.
4. The Applicant will provide a copy of the Environmental Impact Statement (EIS) or the Negative Declaration (NEGDEC) to the Department of Health no later than the day the Applicant provides those documents to the OEQC.

5. To encourage public participation in all projects, the Applicant must give public notice of all environmental assessment determinations either in the community newspaper or in the OEQC newsletter, The Environmental Notice. For projects whose environmental documents were developed within the past five years, the notice within the OEQC newsletter is an acceptable public notice. However, this public notice shall not change any deadline for public comment or lawsuits under the procedures specified in HRS Chapter 343 or HAR Chapter 11-200. For any EIS, the Applicant must hold a public meeting for the project.
6. The SERP will be documented by the use of DOH review forms. At the completion of the review process, the DOH will send the Planning Documents approval letter to the Applicant to delineate any deficiencies, explain any mitigation measures which will be included as special conditions on the loan agreement, and approve all of the project planning documents.
7. The DOH reserves the right to refuse any DWSRF loan to any Applicant if, for any reason, it feels that the proposed project has the potential to impact on the environment in ways that the Applicant has not considered or has not prepared mitigation measures for.

6/3/04, 8:45 am, Rev: 9/11/03  
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Insert SERP Flowchart here (chart - dwf4-ap1.doc)

## **ENVIRONMENTAL ASSESSMENT DOCUMENTS CRITERIA**

The Environmental Assessment Documents (EAD) submitted for any SRF project will address the following criteria:

### **A. OEQC CRITERIA:**

A current Environmental Assessment (EA) of the proposed project which meets the requirements of HAR 11-200-10. (For guidance, see OEQC Guidebook, Chapter VI-B, EA Content Requirements, Items (1)-(9).)

### **B. SERP CRITERIA:**

The Environmental Assessment should also address the following areas to meet SERP requirements:

1. Population projections shall conform to or be derived from the latest population projection series developed by the State Department of Business, Economic Development and Tourism (DBEDT).
2. A range of feasible alternatives shall be considered to include the "no action" alternative.
3. The analysis of alternatives and impacts shall include:
  - a. the primary and secondary (direct and indirect) impacts for all feasible alternatives (to include the "no action" alternative);
  - b. the impacts on social parameters such as land use, recreation and open-space opportunities;
  - c. the cumulative impacts such as anticipated community growth (residential, commercial, institutional, and industrial) within the project and study area;
  - d. the impacts on other anticipated public works projects (if any) and the planned coordination with them; and
  - e. the impacts on any individual sensitive environmental issues that have been identified through the public participation program.

#### C. CROSS-CUTTING AUTHORITIES:

For all projects, the EA must also address the impacts of the proposed project on other Federal "cross-cutting" authorities to include the:

1. Archeological and Historic Preservation Act of 1974, Pub. L. 86-523, as amended (16 USC 461)
2. Clean Air Act, Pub. L. 84-159, as amended (42 USC 7401)
3. Coastal Barrier Resources Act, Pub. L. 97-348 (16 USC 3501)
4. Coastal Zone Management Act, Pub. L. 92-583, as amended (16 USC 1451)
5. Endangered Species Act, Pub. L. 93-205, as amended (16 USC 1531)
6. Environmental Justice, Executive Order 12898
7. Floodplain Management, Executive Order 11988 as amended by Executive Order 12148
8. Protection of Wetlands, Executive Order 11990
9. Farmland Protection Policy Act, Pub. L. 97-98 (7 USC 4201)
10. Fish and Wildlife Coordination Act, Pub. L. 85-624, as amended (16 USC 661)
11. National Historic Preservation Act of 1966, Pub. L. 89-665, as amended (16 USC 470)
12. Safe Drinking Water Act, Pub. L. 93-523, as amended (40 CFR Part 149 Subpart A)
13. Wild and Scenic Rivers Act, Pub. L. 90-542, as amended (16 USC 1271)

#### D. PRIOR-DECISION DOCUMENTS:

Prior-decision documents (EA, EIS, FNSI, Reaffirmations, Negative Declarations) may be submitted. (Documents older than five years must be reaffirmed by the Applicant.) These documents may include the proposed project as part of a larger "parent" project.

Any prior-decision document submitted must contain information applicable and pertinent to the proposed project, and have logical relevancy to and bearing on the action being proposed. In particular, the scope of the proposed project must be substantially similar to the project identified in the prior decision document. All prior-decision documents must be re-evaluated by the County as outlined in Appendix H (SERP).

When prior-decision documents are submitted, an evaluation

of the current environmental impacts of the proposed project must be conducted. New impacts not addressed in the prior-decision documents must be addressed in the current EA. If it is determined there are no new impacts, then the current EA need not be an extensive document.

E. EA CHECKLIST AND CERTIFICATION FORM:

The applicant must complete and sign the attached EA Checklist and Certification form for each proposed project.

F. EXEMPT PROJECTS CERTIFICATION FORM (when applicable):

For any exempt project, the applicant must complete and sign the attached Exempt Projects Certification Form

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**SAFE DRINKING WATER BRANCH  
HAWAII DEPARTMENT OF HEALTH**

**ENVIRONMENTAL ASSESSMENT  
CHECKLIST AND CERTIFICATION**

(To be completed by the Applicant)

PROJECT NAME: \_\_\_\_\_

PROJECT NUMBER: \_\_\_\_\_  
(Applicant) (State)

=====

	YES	NO
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<u>ENVIR. ASSESSMENT SUBMITTED:</u>	-----	-----
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<u>PRIOR DECISION DOC'T SUBMITTED:</u>	-----	-----
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Document: \_\_\_\_\_

\_\_\_\_\_

A. OEQC CRITERIA ADDRESSED:

(1) ID of applicant:	-----	-----
(2) ID of approv agency:	-----	-----
(3) Agencies consulted:	-----	-----
(4) Descrip. of proj. char:	-----	-----
(5) Descrip. of envir:	-----	-----
(6) Impacts and alternatives:	-----	-----
(7) Mitigation measures:	-----	-----
(8) Determination:	-----	-----
(9) Findings and reasons:	-----	-----

B. SERP CRITERIA ADDRESSED:

1. Population projections current:	-----	-----
2. "No-action" alternative:	-----	-----



- |                                |       |       |
|--------------------------------|-------|-------|
| 3. Impacts analysis addresses: |       |       |
| a. prim & sec impacts:         | ----- | ----- |
| b. social parameters:          | ----- | ----- |
| c. cumulative impacts:         | ----- | ----- |
| d. other projects:             | ----- | ----- |
| e. sensitive issues:           | ----- | ----- |

C. CROSS CUTTERS ADDRESSED:

- |                                   |       |       |
|-----------------------------------|-------|-------|
| 1. Arch & Hist Pres Act:          | ----- | ----- |
| 2. Clean Air Act:                 | ----- | ----- |
| 3. Coastal Barrier Resources Act: | ----- | ----- |
| 4. Coastal Zone Mang. Act:        | ----- | ----- |
| 5. Endangered Spec Act:           | ----- | ----- |
| 6. Environmental Justice:         | ----- | ----- |
| 7. Floodplain Management EO:      | ----- | ----- |
| 8. Protection of Wetlands EO:     | ----- | ----- |
| 9. Farmland Protect Policy Act:   | ----- | ----- |
| 10. Fish and Wildlife Coord Act:  | ----- | ----- |
| 11. Natl Historic Pres Act:       | ----- | ----- |
| 12. Safe Drinking Water Act:      | ----- | ----- |
| 13. Wild and Scenic Rivers Act:   | ----- | ----- |

CERTIFICATION: (Applicant certifies that it has conducted a current assessment of the environmental impacts of the proposed project, and has disclosed, in the Environmental Assessment Documents referred to in this checklist, all known significant environmental impacts of the proposed project.)

_____	_____	_____
Signature	Title	Date

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**SAFE DRINKING WATER BRANCH  
HAWAII DEPARTMENT OF HEALTH**

**EXEMPT PROJECT CERTIFICATION**

(To be completed by the Applicant if applicable)

PROJECT NAME: \_\_\_\_\_

PROJECT NUMBER: \_\_\_\_\_  
(Applicant) (State)

=====  
Exemption List information as reviewed and concurred upon by the  
Environmental Council:

Exemption List for: \_\_\_\_\_  
(County)

Exemption List date: \_\_\_\_\_  
(Date)

Exemption Class No.: (Number and Description)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Specific Class Item No.: (Number and Description)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Brief description of the project:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

=====  
CERTIFICATION: (Applicant certifies that is has conducted a  
current assessment of the environmental impacts of the proposed  
project, and has determined that the project is consistent with  
the Exemption Class as noted above.)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date